

## Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

## Data for every month ending - Sep- 2025

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**	Average Resolution time^ (in days)
						Pending for less than 3 months	
1	2	3	4	5	6	7	8
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORE S 2.0)	0	0	0	0	0	0
3	Stock Exchang es	0	0	0	0	0	0
4	Other Sources (if any)	0	01	0	01	0	15 days
5	Grand Total	0	01	0	01	0	0



## **Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April-2025	NIL	NIL	NIL	NIL
2	May-2025	NIL	01	NIL	NIL
3	June-2025	01	NIL	01	NIL
4	July-2025	NIL	NIL	NIL	NIL
5	Aug-2025	NIL	NIL	NIL	NIL
6	Sep-2025	NIL	01	01	NIL
	Grand Total	01	02	02	NIL

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

## **Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Received during the year	Pending at the end of the year
1	2021-22	NIL	NIL	NIL	NIL
2	2022-23	NIL	04	04	NIL
3	2023-24	NIL	02	02	NIL
4	2024-25	NIL	02	02	NIL
5	2025-26				
	Grand Total	NIL	08	08	NIL

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.